DISCLAIMER:
THIS TRANSLATION OF THE "Promotionsordnung der Philosophischen Fakultät der Universität zu Köln" PUBLISHED IN "Amtliche Mitteilungen 34/2026" IS NOT LEGALLY BINDING.

First Regulation Amending the Doctoral Regulations of the Faculty of Philosophy at the University of Cologne from April 13th 2026

Pursuant to Section 2(4) and Section 67(3) of the Law on Higher Education Institutions in the State of North Rhine-Westphalia (Higher Education Act—HG), as amended by the Higher Education Future Act (HZG NRW) of September 16, 2014 (GV. NRW, p. 547) as last amended by Article 2 of the Act to Strengthen Bochum as a Center of Higher Education in the Field of Health Care and to Amend Other Provisions of Higher Education Law of December 19, 2024 (GV. NRW, p. 1222), the Faculty of Arts and Sciences at the University of Cologne hereby enacts the following regulations:

Article I

The Doctoral Regulations of the Faculty of Philosophy dated July 7, 2025 (Official Gazette 86/2025) are hereby amended as set forth in the attached version.

Article II

These regulations shall enter into force on the day following their publication in the Official Gazette of the University of Cologne.

Issued pursuant to the resolution of the Executive Committee of the Faculty of Philosophy at the University of Cologne dated January 21, 2026, and following a review of its legality by the Rector's Office of the University of Cologne on March 17, 2026.

Cologne, April 13, 2026
The Dean of the Faculty of Philosophy at the University of Cologne

Signed
University Professor Dr. Stefan Grohé

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by the Rector’s Office of the University of Cologne on March 17, 2026.

Cologne, April 13, 2026

The Dean

of the Faculty of Philosophy at the University of Cologne

signed

University Professor Dr. Stefan Grohé

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Section 1

Purpose of the Doctorate; Honorary Doctorate

(1) The doctorate establishes that its recipient is capable of engaging in independent scholarly research.

(2) The Faculty of Arts and Humanities grants the degree of Doctor of Philosophy (Dr. phil.) on the basis of an academically substantial written dissertation and an oral examination in the form of a defensio or disputatio.

(3) The Faculty of Arts and Humanities also grants the degree of Doctor of Philosophy (Dr. phil.) as leading faculty in conjunction with a partner university outside Germany. It also cooperates with a responsible partner university outside of Germany in granting a corresponding academic degree. More detailed provisions can be found in Sect. 19.

(4) The Faculty of Arts and Humanities can confer the degree and honor of an honorary doctor of philosophy (Dr. phil. h.c.) for outstanding academic performance or particular contributions to academia. The decision is taken by the faculty proper, and requires the consent of at least two thirds of all faculty members entitled to supervise doctoral dissertations of the faculty proper. Conferring honorary doctorates takes place by handing over the certificate honoring the achievements.

Section 2

a.r.t.e.s. Graduate School for the Humanities Cologne (a.r.t.e.s.)

(1) The a.r.t.e.s. Graduate School is the Graduate School of the Faculty of Arts and Humanities. It forms the institutional framework for the two forms of the doctoral programme: the standard model (Regular Track) and the integrated model (Integrated Track). Furthermore, a.r.t.e.s. is responsible for quality assurance of the doctoral programme of the Faculty of Arts

and Humanities.

(2) Basing a doctoral programme on the standard model (Regular Track) means that it can also be carried out part-time while working, and is usually supported on the academic and professional levels by a supervisor (hereinafter: primary supervisor). The primary supervisor is from the group of faculty members entitled to supervise doctoral dissertations or the group of members of the Faculty of Arts and Humanities entitled to supervise doctoral dissertations in accordance with Sect. 7. It is intended that a documented discussion about the progress of the doctoral programme be carried out at least once a year. The applicant is also to consult another supervisor for academic support of his or her dissertation project (hereinafter: second supervisor). The second supervisor is to be reported to the central doctoral office.

(3) The integrated model (Integrated Track) is a structured doctoral programme usually concluded in 36 months. The doctoral programme is usually supported on the academic and professional levels by a supervisor (hereinafter: primary supervisor). The primary supervisor is from the group of faculty members entitled to supervise doctoral dissertations or the group of members of the Faculty of Arts and Humanities entitled to supervise doctoral dissertations in accordance with Sect. 7. The applicant is to consult two additional supervisors for academic and professional support of his or her dissertation project from the group of persons referred to in Sect. 8 (hereinafter: second or third supervisor) so that his or her doctoral programme is academically and professionally supported by three persons. The second and third supervisors are to be reported to the central doctoral office.

Further details on admission to the integrated model at a.r.t.e.s. are governed by the regulations on admission to the integrated model in its currently valid version. For all associated programmes, the respective regulations of the Graduate Schools associated in the framework of the Integrated Track apply.

(4) A Supervision Contract is concluded between the doctoral student and the primary supervisor within six months after successful acceptance into the doctoral programme. Acceptance is temporary until the submission of the Supervision Contract.

(5) Upon request from a member of the group of professors, the faculty proper can, in conjunction with a.r.t.e.s., establish additional structured doctoral programmes or research classes or training groups. These can also be interdisciplinary across faculties.

Section 2 a

Ombudsperson for support and mediation

(1) The ombudsperson for support and mediation is a confidential contact partner for all doctoral students and their supervisors in cases of conflicts, and if problems arise in supervisory relationships. If the ombudsperson is addressed with questions or complaints, he or she is first at one’s disposal as a confidential contact partner and attempts to clarify the problem and the responsibilities with the parties involved. If this does not succeed, the ombudsperson, with the consent of the complainant, contacts all persons involved and organises discussions for the purpose of mediation. In case of an unsuccessful mediation attempt, the ombudsperson will consult the Director of the Graduate School.

(2) The ombudsperson and his or her deputy are elected for a term of three years by the faculty proper at the suggestion of the doctoral students of the training groups from the group of professors of the Faculty. If the ombudsperson is prevented from performing his or her duties in the meaning of paragraph 1, the deputy shall act. Re-election is possible.

Section 3
Doctoral Board

(1) The Faculty of Arts and Humanities forms a doctoral board to organise the doctoral program and the tasks allocated by these doctoral regulations.

(2) The doctoral board consists of the following voting members:

1. the dean as chairperson; if the dean is unable to attend, the Vice Dean for Research and Young Academics as representative.

2. one member each from the group of professors from each group of disciplines of the Faculty of Arts and Humanities;

3. two members from the group of academic staff who have doctorates;

4. two members from the group of students who have been admitted to the doctoral studies program at the Faculty of Arts and Humanities;

5. one member from the group of technical and administrative staff.

The advisory members of the doctoral board are the Vice Dean for Research and Young Academics, the speaker of the Graduate School, and the managing director of the Graduate School, unless they are members under No. 1 to 3. The doctoral board can consult with additional persons for meetings.

The faculty proper selects the members of the doctoral board and its representatives. The members from the group of professors and the members from the group of academic staff will be elected for a three-year duration; the student members and the member from the group of technical and administrative staff will be elected for one year. Re-election is possible. The time in office of a representative ends with the respective member's time in office. If a member or a representative withdraws prematurely, a successor will be elected for the remaining time in office.

(3) The composition of the doctoral board is to be announced by posting a notice and/or on the Internet. The doctoral board has a quorum if at least half of its voting members are present. It decides by a simple majority of the members present at the meeting. In the event of a tie, the vote of the chairperson in office decides. In matters which directly affect teaching, with the exception of its evaluation, the members from the group of professors shall have at least one half of the votes. In matters which directly affect research, the members from the group of professors shall have a majority of the votes. The member of the doctoral board from the group of technical and administrative staff only has a right to vote in matters related to teaching if he or she performs corresponding functions in the university and has particular experience in the respective area. The chairperson decides at the beginning of the member's time in office whether these requirements are met, in cases of doubt, the rectorate decides. The student members do not vote on pedagogical and academic decisions; these include in particular the assessment and recognition of examinations and academic performance, the appointment of examiners, the assessment of components of doctoral studies and decisions in case of objections.

(4) The doctoral board ensures that the provisions of the doctoral regulations, including their appendices, are complied with, ensures that the doctoral programme is properly executed, and in particular ensures that the assessment of the doctoral components is concluded at the latest six months after presenting the dissertation (see Sect. 67 par. 3 sent.

5 *HG* [Higher Education Act]). It is in particular responsible for deciding about objections to decisions taken in the scope of the doctoral programme and the doctoral procedure. The decisions it has made are to be communicated and justified in written or electronic form immediately, and in case of an adverse decision are to include instructions about available legal remedies.

(5) The meetings of the doctoral board are not public and are held in person. The chairperson of the board can decide whether the meeting will be held electronically. This decision is ineffective if half of the members object prior to the meeting. In meetings taken place electronically the chairperson can decide whether or not decisions are allowed to be made electronically; a resolution of the body may allow for deviation from this rule. Section 5 sentence 1 applies to meetings by electronic communication with the provision that attendance means participation in the meeting. Resolutions may also be passed by way of circulation, provided no member objects. The members of the doctoral board, its representatives and the persons consulted are subject to official secrecy. If they are not in public service, they are to be sworn to secrecy by the chairperson of the doctoral board.

(6) The Chairperson of the doctoral board, or their deputy if they are unable to attend, represents the doctoral board. He or she convenes the meetings of the doctoral board, chairs them and implements the resolutions passed there. The doctoral board may delegate the fulfilment of its tasks to the Chairperson for all regular cases. In urgent cases, the chairperson may organise a vote by circulation. She or he may make decisions that cannot be postponed in place of the board; the board must be informed of this immediately; the board may revoke the decision; any rights of third parties that have already arisen remain unaffected. He or she shall fulfil the tasks assigned to him or her by the Doctoral board. He or she shall decide in urgent cases in which the Doctoral Board cannot be convened in good time and shall report on this at the next meeting of the Doctoral Board. Decisions on appeals against decisions made by the Chairperson are reserved for the Doctoral Board.

(7) The chairperson of the doctoral board communicates instructions, setting of dates and deadlines and other notifications of the doctoral board that do not affect only individual persons by posting a notice and/or on the Internet with a legally binding effect.

Section 4

Access and Conditions for Admission to the Doctoral Programme

(1) Admission to the doctoral programme is carried out by the doctoral board upon a formal application to the a.r.t.e.s. Graduate School. Additional supervision agreements signed by professors in accordance with Sect. 2 par. 2 and par. 3 are as a general rule to be presented with the application for admission. The doctoral programme begins with the date of notification of admission.

In the integrated model (Integrated Track), admission is effected by the doctoral board after a successful application responding to a public announcement from the a.r.t.e.s. Graduate School or an equivalent doctoral programme under Sect. 2 par. 5. Further details are set forth in the regulations on admission to the integrated model in the currently valid version.

(2) The prerequisites for admission to the doctoral programme in both the standard model and the integrated model are:

1. One of the following degrees:

    a) a degree after pertinent university studies with a general standard period of study

of at least eight semesters for which a degree other than a “Bachelor” with a minimum grade of 2.4 for the standard model and a minimum grade of 2.0 for the integrated model or

b) a degree in a relevant master’s program in terms of Sect. 61 par. 2 sent. 2 *HG* with a minimum grade of 2.4 for the standard model and a minimum grade of 2.0 for the integrated model or

c) a qualified degree after pertinent university studies with a general standard period of study of at least six semesters with a minimum grade of 2.0 and subsequent studies that are suitable to prepare for a doctoral programme in the discipline, which usually correspond to a deepening of subject-specific academic knowledge of two semesters. The doctoral board decides on the scope and duration of the doctoral preparation. It can set time periods within which the studies preparing for a doctoral programme are to be rendered. Admission to the doctoral programme initially takes place provisionally during the time period of rendering the studies preparing for the doctoral programme. The final decision is made by the doctoral board.

The doctoral board decides on exceptions upon receiving a written substantiated proposal. The reasons need to be plausible. If such an exception is granted, the doctoral board determines how to proceed.

2. A synopsis on the dissertation proposal of at least three pages in German or English.

3. If applicable, an application that the defensio under Sect. 13 par. 4 sent. 2 or the disputatio under Sect. 14 par. 3 sent. 2 may be taken in one of the accepted languages according to Sect. 9 par. 2. The proposal is to be presented at the latest when registering for the examination in accordance with Sect. 7.

4. If applicable, the application that an exemption from proof of knowledge of German pursuant to Section 6 par. 1 sent. 2 no. 5 be furnished.

5. As a rule, indication of a member of the Faculty of Arts and Humanities who is authorised to award doctorates in the desired subject and who is prepared to provide academic supervision for the doctoral project (confirmation of supervision); see section 2. par. 2

6. The presentation of officially authenticated (notarized copies of) university diplomas and their attachments, as well as, for graduates of a university outside Germany, submission of the final thesis in digital form (untranslated) and a two-page synopsis of the final thesis in German or English.

7. Proof of university entrance qualifications.

(3) In case of a positive decision on admission, the applicant will receive notification about admission as a doctoral student. After receiving the notice of admission, the doctoral student must enrol within the deadline mentioned in the admission as a doctoral student.

(4) If a doctoral candidate reapplies for admission after a dissertation has been rejected, the Doctoral Board may initiate a review of the exposé and, if there is reasonable suspicion that a rejected dissertation has been resubmitted, may refuse admission.

(5) The applicant and the doctoral student are obligated to participate with the business processes and procedures deployed at the University of Cologne; Sect. 6 of the regulations on enrolment of the University of Cologne in the currently valid version applies accordingly. The preconditions for admission as a doctoral student and the opening of the doctoral examination procedures are full registration and application in the data acquisition and

administration software for doctoral students of the Faculty of Arts and Humanities of the University of Cologne, including all details in accordance with the University Statistics Act. Once a year, the data stored in the system must be updated by all doctoral students in the manner prescribed by the Central Doctoral Office.

Section 5

Disciplines, Contents of Doctoral Studies

(1) The Faculty of Arts and Humanities offers research-based studies and enables obtaining key academic qualifications in accordance with Sect. 67 par. 2 sent. 1 *HG*.

(2) The doctoral discipline is determined by the subject to which the dissertation is primarily allocated (see Appendix 1). Within a doctoral programme, usually the equivalent of at least 12 Credit Points must be completed in the discipline and participation in an introductory course of the Faculty of Arts and Humanities must be proven. The 12 Credit Points are to be chosen freely by the doctoral student. No exams need to be taken.

Students in the Integrated Track are also to complete the requirements listed in Appendix 3.

Section 6

Request for Admission to the Doctoral Examination

(1) The doctoral student submits to the chairperson of the doctoral board via the a.r.t.e.s. Graduate School a request for admission to the doctoral examination in which the discipline and the proposed examiners are to be indicated.

The application is to be accompanied by:

1. a digital version of the dissertation and bound copies (three copies of the dissertation in case of the disputatio or four copies thereof in case of the defensio) if this is desired by the examiners;

2. a CV in German or English which in particular provides information about the course of studies and, if applicable, professional activities, and bears the doctoral student's signature (in addition, a CV is to be bound into each copy of the dissertation submitted);

3. if applicable, the proof of knowledge of languages in accordance with Appendix 2;

4. When submitting the dissertation, proof of German language proficiency at level C1 (Common European Framework of Reference for Languages, CEFR) and, in the case of a dissertation written in a foreign language, proof of German language proficiency at level B2 (Common European Framework of Reference for Languages, CEFR) must be provided; in exceptional cases, the doctoral board may exempt the doctoral candidate from providing proof of German language proficiency upon a substantiated written or electronic application by the doctoral candidate, if the reasons are credible and all supervisors are in favour of the exemption. The

application should be submitted together with the application for admission as a doctoral candidate (cf. § 4). If the application is approved, the notification of exemption must be submitted instead of proof of German language proficiency.

5. proof of participation in an introductory course;

6. admission to the doctoral programme in accordance with Sect. 4;

7. proof of enrolment as a doctoral student during this doctoral programme in accordance with the regulations on enrolment of the University of Cologne in the currently valid version;

8. the proof of credits in the discipline in accordance with Sect. 5 par. 2 sent. 2; in the integrated track proof according to Appendix 3;

9. if applicable, copies of one's own academic publications;

10. a declaration by the applicant on whether he or she has already undertaken a successful or unsuccessful attempt to acquire a doctorate at the Faculty of Arts and Humanities or another faculty or university or whether he or she is in a pending process (in this case, a copy of the relevant dissertation is to be presented);

11. a personally signed declaration with the following wording: "I solemnly declare that I prepared the dissertation I am presenting independently and without undue assistance, that I have completely stated the sources and aids used, and that in each individual case I have identified the passages in the dissertation, including tables, maps and images, that are quoted from other works literally or in spirit as a borrowing; that this dissertation has not been presented to any other faculty or university for examination; that it has not yet been published, apart possibly from partial publication approved by the chairperson of the doctoral board after consultation with the supervising professor, as well as that I will not undertake any such publication before completing the doctorate. I am aware of the provisions in Sect. 20 and 21 of the doctoral regulations. The dissertation I am presenting has been supervised by .....";

12. an explanation about which form of the oral examination is being selected; upon selecting the disputatio, three weeks before the disputatio takes place the doctoral student will be requested to submit the hypotheses in writing to the Central Doctoral Office together with a short explanation within one week (see Sect. 14 par. 1). If the doctoral student does not want the defensio or disputatio to be open to members of the faculty, he or she must submit a declaration to this effect in writing (see Sect. 12 par. 3). If the defensio in accordance with Sect. 13 par. 4 sent. 2 or the disputatio in accordance with Sect. 14 par. 3 sent. 2 is to be taken in an approved language according to Sect. 9 par. 2, the doctoral student is to present a corresponding application (see Sect. 4 par. 2 no. 3) and to submit the hypotheses in the respective language;

13. a declaration by the doctoral student on whether he or she wishes that the invitation to the oral examination be stated subject to acceptance of the dissertation, in

accordance with Sect. 12 par. 3 sent. 1;

14. proof of the consultations with the primary supervisor.

(2) The chairperson of the doctoral board decides on the request for examination with a written notification that, in case of refusal, is to be substantiated and provide instructions about available legal remedies. The request can be refused if one of the prerequisites named in par. 1 or Sect. 4 is not met.

Section 7
Right to Award Doctorates; Supervisors

(1) Faculty members to whom the Faculty of Arts and Humanities has conferred the venia legengi (permission to teach) in one of its disciplines through habilitation are eligible to supervise doctoral studies. Appointed professors of the Faculty of Arts and Humanities as well as appointed honorary professors or junior professors from one of the disciplines of the faculty are eligible supervisors as well. If a clear affiliation to a specific discipline is not given, the doctoral board may decide. In exceptional cases, the right to award a doctorate may, upon request, be conferred to members of other faculties or universities if they meet the conditions listed under § 65 sect. 1 of the HG; the eligible members of the *proper faculty* may decide such cases.
The right to award doctorates may only be exercised up to two years after an appointment to another faculty or university. Upon request, the doctoral board may approve exceptions.

(2) Upon request, the right to award doctorates may, in justified exceptional cases, be conferred to junior researchers holding a doctoral degree if they meet the conditions listed under § 65 sect. 1 of the HG and provided that they are equivalent to junior professor due to their teaching and research contribution to existing funding programs; the eligible members of the *proper faculty* may decide such cases.

(3) Upon request, a temporary right to award doctorates may be conferred to researchers from other faculties or universities who supervise doctoral candidates within the framework of a temporary post graduate program that takes place in cooperation with these faculties or universities, provided that they meet the conditions listed under § 65 sect. 1 of the HG. In these cases, the right to award doctorates is limited to the supervision of doctoral candidates of the temporary post graduate program and is only valid up to two years after its termination. The eligible members of the *proper faculty* decide on such applications.

(4) Upon request, habilitated academic councillors who meet the conditions listed under § 65 sect. 1 of the HG and who hold a temporary position at the Faculty of Arts and Humanities may, for the period of their employment, receive the right to supervise doctoral studies and award doctorates. This right may only be exercised up to two years after the termination of the employment. The eligible members of the *proper faculty* decide on such applications.

(5) If the first supervisor is not permanently employed at the Faculty of Arts and Humanities, a professor of the Faculty of Arts and Humanities must be appointed as a second supervisor. Should the first supervisor according to clause 1 leave the university before

the supervised doctoral project is completed, the supervision may be continued upon their request, as long as the doctoral candidate has already been admitted to the doctoral program according to § 4. The doctoral board decides such cases. If a continuation is not granted, the second supervisor steps in.

Sent. 1 does not apply to retired or emeritus professors of the Faculty of Arts and Humanities.

Section 8
Compensation for Disadvantages and Protective Provisions

(1) The special interests of doctoral candidates with disabilities, chronic or mental illnesses and doctoral candidates who are subject to the provisions of maternity protection law shall be taken into account in order to ensure their equal opportunities.

(2) If a doctoral candidate can credibly demonstrate that he or she is unable to take part in an examination in the intended form or to the intended extent due to prolonged or permanent illness or disability, he or she will be granted compensation for disadvantages upon written or electronic application to the Chair of the Doctoral Board. The submission of a specialist medical certificate may be required for this purpose. This applies accordingly with regard to deadlines and dates

(3) Utilisation of the protective provisions in accordance with the provisions of maternity protection law and in accordance with the deadlines of the Federal Parental Allowance and Parental Leave Act as amended shall be made possible upon application; in these cases, examinations may be taken despite leave of absence. If a doctoral candidate can credibly demonstrate that she is unable to take part in an examination in the intended form or to the intended extent due to maternity protection regulations, she will be granted compensation for disadvantages upon written or electronic application to the Chair of the Doctoral Board. A medical certificate from a specialist may be required for this purpose. This applies accordingly with regard to deadlines and dates. The application must be submitted immediately after the requirements have been met.

(4) Special concerns caused by the need for care or support of the spouse, registered partner, a relative in the direct line or a relative within the first degree of kinship must be taken into account appropriately, particularly with regard to deadlines and dates. The application must be submitted immediately after the conditions have been met.

(5) Applications in accordance with paragraphs 2 to 4 must be comprehensively substantiated by the doctoral candidate, providing suitable evidence. Applications must be submitted to the Chair of the Doctoral Board within a reasonable period of time before the work is completed. Unless a change in the illness or disability is to be expected, the compensation for disadvantages should extend to all examinations to be taken in the course of the doctorate.

Section 9

Dissertation

(1) The dissertation must deal with a topic that falls within the areas of the doctoral disciplines under Sect. 5 in conjunction with Appendix 1 of these regulations. It must contain

academically substantial results and must show the doctoral student's skill in independent research and clear presentation of his or her findings. It must not have been published yet; upon request, the Chairperson of the doctoral board can approve partial publication after consultation with the supervising professor.

(2) The dissertation should be written in German, English, French, Spanish, Italian, Portuguese, or Latin, and must be published after concluding the doctorate. Writing the dissertation in a different language can occur upon request if adequate assessment by the faculty members entitled to supervise doctoral dissertations of the Faculty of Arts and Humanities is ensured upon admittance to the doctoral programme, in accordance with Sect. 4. The doctoral board decides on the application; Sect. 19 remains unaffected.

Section 10

Review of the Dissertation

(1) The chairperson of the PhD board appoints two (in the case of a disputatio) or three (in the case of a defense) reviewers, who have the right to award doctorates according to section 7, for the evaluation of the doctoral thesis. The reviewers are usually the supervisors of the doctoral project. At least one of the reviewers must be an authority in the discipline in which the dissertation is written. This is usually the first reviewer; the chairperson of the doctoral board decides on exceptions. In general, the first reviewer should be the supervisor of the thesis, belonging to the Faculty of Arts and Humanities. The second reviewer or further reviewers can also belong to an external faculty. If the doctoral thesis is written in the framework of a temporary post graduate program and the first reviewer is an external person, the second reviewer is generally a representative of a discipline belonging to the Faculty of Arts and Humanities. Regarding interdisciplinary dissertations, the chairperson of the doctoral board may consult up to two further experts, depending on the complexity of the thesis. The second reviewer, or any further reviewer may be a member of another – also foreign – faculty.

(2) The consultants appraise the dissertation within eight weeks and propose its acceptance or refusal. Upon substantiated request from a consultant, the chairperson of the doctoral board can grant a one-off extension of the appraisal period of a maximum of four weeks. If the appraisal has not been received after an additional four weeks, the chairperson of the doctoral board can appoint a new consultant. If accepted, the consultants propose the grades at the same time. The possible grades are:

rite (satisfactory): 3.0;

cum laude (good): 2.0;

magna cum laude (very good): 1.0;

and – for extraordinary academic performance –

summa cum laude (with distinction): 0.0.

The intermediate grades 0.7; 1.3; 1.7; 2.3 und 2.7 may be given. If the dissertation is accepted, the grade results from the arithmetic mean of the grades of the consultants; this reads:

for a numerical value of 0.0: summa cum laude

for a numerical value above 0.0 to 1.4: magna cum laude

for a numerical value above 1.4 to 2.4: cum laude

for a numerical value above 2.4 to 3.0: rite.

Only the first decimal place after the decimal point is taken into account; all other decimal places are dropped without rounding. The ranking “summa cum laude” can only be given if all consultants have awarded the dissertation “summa cum laude”.

If all consultants have awarded the dissertation “summa cum laude”, the chairperson of the doctoral board should obtain an opinion from a professor from outside in consultation with the primary consultant. An objection to the opinion is not possible. If the ranking “summa cum laude” is not confirmed, the doctoral board determines the final grade.

(3) A consultant can make acceptance of the dissertation dependent on a prior revision (return for revision) if in case of significant need for change or additions, in particular if objections to the research approach, execution of research or research results are an obstacle to acceptance of the dissertation. This is to be carried out by a deadline determined by the chairperson of the doctoral baord in consultation with the consultants. The original version with the consultants’ comments, if applicable, is to be re-submitted together with the new version.

(4) If minor changes or additions regarding presentation and style are required for the dissertation to be ready for publication, a reviewer may attach conditions to the acceptance of the dissertation which must be fulfilled before publication (acceptance subject to reservation). Presentation and style as the subject of possible amendment requirements include only minor aspects of spelling, syntax, linguistic expression, style, structure, graphic or formal design of the dissertation. The fulfilment of these amendment requirements is confirmed by the revision certificate (Sect. 17 par. 3).

(5) The assessments are on display together at the Dean’s office with the dissertation for the faculty members of the Faculty of Arts and Humanities entitled to supervise doctoral dissertations for their inspection for two weeks; notification of this is posted on the notice board and on the website of the Dean’s office of the Faculty of Arts and Humanities. The chairperson of the doctoral board also invites faculty members entitled to supervise doctoral dissertations who are not members of the Faculty of Arts and Humanities but belong to other faculties or universities to inspect if they are involved as consultants in the specific doctoral procedure.

(6) The dissertation is accepted if all reviewers have voted in favor of acceptance and no objection has been raised by those entitled to review the dissertation on the basis of an expert opinion. Such an objection can be raised against the acceptance of the dissertation and also against the proposed grades. The objection must be announced during the display and submitted within four weeks. In the event of an objection, the Chair of the Doctoral Board shall, in consultation with the reviewers and the appellant, commission a further reviewer to prepare a further review; paragraph 5 shall apply accordingly. The same applies if the proposed grades differ by more than one grade or if a reviewer recommends the rejection of the dissertation If the additional reviewer is in favor of accepting the dissertation and no objection is raised by those entitled to inspect the dissertation and substantiated by an expert opinion, the grade is calculated from the arithmetic mean of the grade proposed by the additional reviewer and the arithmetic mean of the grades proposed by the other reviewers. If the additional reviewer is in favor of rejecting the thesis, the doctoral board decides on the acceptance or rejection of the thesis and determines the grade.

(7) The dissertation is rejected if all reviewers have recommended the rejection of the dissertation and no objection to the rejection has been raised by a person authorised to inspect the dissertation in accordance with paragraph 5. The objection must be notified during the display and submitted within four weeks. If such an objection is raised, the Chair of the Doctoral board shall commission a further reviewer to prepare an additional review; paragraph 5 shall apply accordingly. The doctoral board then makes the final decision as to whether the dissertation is accepted or rejected after hearing the parties involved in the assessment procedure. In the case of acceptance, the committee also determines the grade (see paragraph 2).

(8) The decision on acceptance or refusal of the dissertation is communicated to the doctoral student by a written notice from the chairperson of the doctoral board; in case of refusal, it is to include instructions about available legal remedies. One copy of the refused dissertation remains together with all expert opinions in the files of the Faculty of Arts and Humanities. In case of acceptance of the dissertation, the doctoral student shall immediately be informed of the grade of the dissertation.

Section 11

Board of Examiners

(1) Once the dissertation has been accepted, the chairman of the doctoral board in consultation with the faculty representatives deploys a board of examiners to conduct the oral examination. The doctoral student can make proposals about the composition of the board of examiners. There is no entitlement that these proposals be taken into account.

(2) The chairman of the doctoral baord chairs the board of examiners. In accordance with Sect. 7 par. 1 sent. 1, he or she can transfer chairing the board to a member of the board of examiners or to another faculty member entitled to supervise doctoral dissertations or to a member of the Faculty of Arts and Humanities.

(3) The chairperson of the doctoral board determines the examiners and sets the date for the defensio or disputatio.

(4) For the oral examination in the form of the defensio, the board of examiners consists of the chairperson of the doctoral board and three examiners. The board of examiners of the disputatio has at least six members: the chairperson of the doctoral board, the examiners for the dissertation, as well as three members from the group of examiners entitled to supervise doctoral dissertations in accordance with Sect. 7. At least one member of the board of examiners must belong to a different discipline than the doctoral discipline. The chairperson of the doctoral board decides on exceptions.

Section 12

Oral Examination

(1) An oral examination takes place only once the dissertation has been accepted. The oral examination takes place during the lecture period after the dissertation was accepted. The doctoral board decides on exceptions. The oral examination can be conducted in the form of a defensio or a disputatio.

(2) The oral examination takes place in the German language. This does not apply if the chairperson of the doctoral board has approved the defensio in a foreign language in accordance with Sect. 9 par. 2 (sect. 13 par. 4 sent. 3) or the disputatio in a foreign language in accordance with Sect 9 par 2. (Sect. 14 par. 3 sent. 3).

(3) The doctoral candidate must be invited in writing or electronically at least three weeks before the date of the oral examination, stating the composition of the examination committee; if the doctoral candidate so wishes, the invitation to the oral examination can also be issued subject to the acceptance of the dissertation. The defensio and disputatio are open to the faculty and are announced on the homepage of the a.r.t.e.s. Graduate School at least eight days in advance. The Chair of the Examination Board shall ensure that an appropriate number of members of the audience are able to attend. The doctoral candidate may object to the participation of members of the audience. The members of the audience have no right of participation. Participation does not extend to the deliberations and announcement of the grade. The chairperson may exclude members of the audience if the proper conduct of the examination appears to be jeopardised. The reasons for this must be noted in the minutes. The duration, main course, subjects and results of the oral examination must be recorded in the minutes.

(4) If the doctoral student fails to appear at the oral examination without an adequate excuse, it shall be deemed failed. The chairperson of the doctoral board is to decide whether an excuse is to be considered adequate; in case of an adequate excuse the chairperson will set a new examination date. In the event of illness, the doctoral student is to present a medical certificate that attests to the inability to take the examination. If the doctoral student discontinues the examination without verifiable cause, the examination is considered not passed.

(5) The oral examination can be conducted in presence, in electronic form or hybrid. The type of examination is determined with the doctoral application. In the case of an examination conducted in electronic form, the doctoral candidate will be given sufficient opportunity to familiarise themselves with the electronic information and communication systems used in the respective examination prior to the examination. With regard to data protection regulations, the provisions of the Regulations Governing Online Examinations and Video Supervision of the University of Cologne, as amended, apply with the exception of Section 5 (4) sentences 1 and 2.

Section 13

Defense of the Dissertation (Defensio)

(1) The defensio serves to demonstrate the doctoral student’s ability to support or further explain the results developed in the dissertation in the face of questions and objections, and on that basis to discuss scientifically.

(2) The defensio is conducted by the board of examiners led by its chairman. The subject of the defensio is the dissertation presented.

(3) The defensio generally lasts 90 minutes. The presentation by the doctoral student may comprise at most 15 minutes. The members of the board of examiners are entitled to ask questions.

(4) The defensio takes place in the German language. If the doctoral student so requests, in well-founded exceptional cases the defensio can take place in any of the languages permitted in Sect. 9 Par. 2 if

1. the first examiner of the dissertation accepts this with the support of the examination board;

2. an adequate assessment of the examination in consultation with the discipline and the agreement of the members of the board of examiners are ensured.

The chairperson of the doctoral board decides on the approval of an application. The application must be submitted before or together with the registration for examination (Sect. 6).

(5) A member of the board of examiners records the minutes.

Section 14

Defense of the Dissertation (Disputatio)

(1) The disputatio is conducted by the board of examiners led by its chairman. It is conducted in the form of a colloquium on three scientific hypotheses. A hypothesis must refer to the subject of the dissertation, whereby the theme developed by the doctoral student should be ordered in the overall context of his or her discipline; the two other hypotheses refer to various areas within the discipline. The doctoral student submits the independently written topics of the hypotheses upon written request two weeks before the disputatio to the Central Doctoral Office. A short written explanation of each hypothesis is to be presented.

(2) The defensio generally lasts 90 minutes. The presentation of the hypotheses may comprise at most 30 minutes. The members of the board of examiners are entitled to ask questions.

(3) The disputatio takes place in the German language. If the doctoral student so requests, in well-founded exceptional cases the defensio can take place in any of the languages permitted in section 9 par. 2 if

1. the first examiner of the dissertation accepts this with the support of the examination board;

2. an adequate assessment of the examination in consultation with the discipline and the agreement of the members of the board of examiners are ensured.

The chairperson of the doctoral board decides on the approval of an application. The application must be submitted before or together with the registration for examination (Sect. 6).

(4) A member of the board of examiners records the minutes.

Section 15

Evaluation of the Oral Examination

(1) The grades according to Sect. 10 par. 2 apply for the evaluation.

(2) If the oral examination is passed in accordance with par. 2, each of the members of the examination committee give a grade. The grade of the oral examination is an arithmetic mean of the grades according to sent. 1. Only the first decimal place after the decimal point is taken into account; all other decimal places are dropped without rounding.

(3) The defensio or disputatio can, if not passed, be repeated once. If the repeat examination is also not passed, the doctoral procedure has been failed definitively.

(4) In case of an oral examination was not passed or was definitively failed, the doctoral board issues the doctoral student a notification letter with instructions about available legal remedies. One copy of the refused dissertation remains together with all expert opinions in the files of the Faculty of Arts and Humanities.

(5) The grade of the oral examination will be announced to the doctoral student immediately after the examination is concluded.

Section 16

Final Grade

The overall grade for the doctorate is calculated as a weighted average from the grade for the dissertation and the grade for the oral examination, whereby the grade for the dissertation counts double and the grade of the oral examination counts once. Of this average, only the first decimal place after the decimal point is taken into account; all other decimal places are dropped without rounding. The grade for the doctorate is for an average up to and including 1.4: very good / magna cum laude; for an average above 1.4 up to and including 2.4: good / cum laude; for an average above 2.4 up to and including 3.0: satisfactory / rite. If both the oral examination and the dissertation were evaluated “with distinction”, the overall grade is “with distinction / summa cum laude”.

Section 17

Publication of the Dissertation

(1) The doctoral student is obligated to publish the dissertation. Publication in a different language than the one used in the dissertation is permitted if, together with the certificate of revision, the *Imprimatur* (“ready for press”) is issued for the version translated into the different language. The forms of publication that come into consideration are:

1. Publication by a publishing house as a monograph or E-Book (with an ISBN or ISSN number) in a scholarly series or in an academic journal;

2. Publication by private printing or reproduction in bound form;

3. Publication in electronic form on the publication server (KUPS) of the University and City Library of Cologne or, on request, on another freely accessible online repository that can be accessed via a library reference system.

(2) The published version must contain a note that it is a dissertation accepted by the Faculty of Arts and Humanities of the University of Cologne.

(3) The dissertation must be presented immediately before publication to the first examiner. If an examiner imposes requirements to make changes in accordance with Sect. 10 par. 4, the dissertation must be presented to all examiners. They ensure that publication takes place in an appropriate form and takes into account any requirements to make changes that may have been made upon acceptance of the dissertation, approve any changes that may have been made compared to the version submitted in the course of the doctoral procedure, and issue the *Imprimatur* by signing the certificate of revision (Appendix 4 of these regulations). The latter is to be forwarded by the doctoral student to the chairperson of the doctoral board. If the examiners come to differing results as relates to the appropriateness of the form and the changes, the chairperson of the doctoral board shall decide.

(4) Obligatory copies of the published version are to be handed over to the Faculty of Arts and Humanities, namely

- in the case of a print publication in accordance with par. 1 no. 1: 7 + 1 copies of the printed edition or

- in the case of a purely electronic publication (E-Book) according to par. 1 no. 1: 2 printed copies and the confirmation of publication of the dissertation in electronic form with a link to the publication on the university server KUPS in German or English or

- in the case of par. 1 no. 2: 16 printed copies or

- in the case of par. 1 no. 3: the confirmation of publication of the dissertation in electronic form on the University Server KUPS or

- in the case of an in accordance with par. 1 no 3. approved publication on an online server other than KUPS: 2 printed copies, a summary of the disseration and a confirmation of the publication of the dissertation in electronic form with a link to the publication in German or English.

(5) Proof of publication should be submitted to the Chair of the Doctoral Board in accordance with paragraph 4 within two years of the defensio or disputatio. Upon justified request, the Chair of the Doctoral Board may extend the publication deadline by one year. An extension beyond three years may only be granted for exceptional reasons.

Section 18
Examination Certificate and Doctoral Certificate

(1) Immediately after the successfully passed doctoral examination, the doctoral candidate will receive the examination certificate. It provides information about the discipline and subject of the PhD thesis as well as the overall grade. In case of a disputatio, it also includes the submitted hypotheses. The doctorate is thus completed. The certificate does not authorize the use of the doctoral title.

(2) Upon request, the Dean may grant the doctoral candidate permission to temporarily use the doctoral title if, with the Dean's approval, the dissertation has been accepted for publication by a commercial publishing house. This permit is valid for a period of one year from the date of the oral examination. It can be withdrawn if the doctoral candidate unduly delays or obstructs the printing. The Dean may, upon request, grant extended terms.

(3) Upon the fulfillment of all requirements regarding the doctorate, including those according to § 17, the doctoral candidate will receive the doctoral certificate, signed by the Dean and bearing the seal of the Faculty of Arts and Humanities. The certificate bears the date of the defensio or disputatio as well as an indication of a partner university if § 19

applies. The overall grade is noted on the certificate. Once the doctoral certificate is awarded, the candidate may use the doctoral title.

(4) The doctoral certificate may be renewed after fifty years by the Faculty of Arts and Humanities.

Section 19

Joint Doctoral Studies with Partner Universities Abroad

The completion of a doctoral degree pursuant to Sect. 1, par.3, sent. 1, and participation pursuant to Sect 1, par. 3, sent. 2, require a cooperation agreement with a foreign partner faculty in which both faculties undertake to facilitate the completion of such a doctoral degree and to regulate the details of their collaboration. Unless otherwise provided in the respective regulations governing the conduct of the doctoral program, these regulations shall apply.

Section 19 a

Joint Doctoral Studies with a German University of Applied Sciences

(1) The Faculty of Arts and Humanities of the University of Cologne develops doctoral studies in cooperation with Universities of Applied Sciences in the meaning of Sect. 67 HG (Higher Education Act); in these cases, the completion of doctoral components is jointly supervised.

(2) The prerequisite for admission to joint doctoral studies with a University of Applied Sciences is prior admission to doctoral studies at the Faculty of Arts and Humanities of the University of Cologne in accordance with Sec. 4. Admission to the joint doctoral studies then takes place with consistent declarations of intent from both the Faculty of Arts and Humanities of the University of Cologne and the University of Applied Sciences.

(3) The conditions of the joint doctoral studies will be set out for individual cases in an agreement between the University of Cologne and the specific University of Applied Sciences. The relevant contracts will be drafted by or in collaboration with the doctoral board and must be approved by the faculty proper.

(4) Joint doctoral studies with Universities of Applied Sciences will be conducted according to the provisions of these Doctoral Regulations of the Faculty of Arts and Humanities of the University of Cologne. Individual points of these Doctoral Regulations can be replaced by equivalent provisions that are specified in the agreement. Replacing these provisions is subject to the approval of the doctoral board.

Section 20

Declaration of Invalidity of Doctoral Degree Components, Scientific Misconduct

(1) If the doctoral board determines before handing over the doctoral certificate that the doctoral student has made incorrect statements on essential prerequisites for admittance to the doctoral programme or to the doctoral examination procedure, it can declare the doctoral components invalid. If the doctoral degree was awarded in collaboration with a partner faculty, the decision to revoke admission is made in consultation with that faculty. The doctoral student is to be given an opportunity to make his or her views known before the decision. The doctoral board communicates the declaration of invalidity to the doctoral student in writing with an explanation

and instructions about available legal remedies.

(2) If in the course of the doctoral examination procedure an initial suspicion arises about the existence of scientific misconduct (particularly of plagiarism), the doctoral board investigates the matter and makes the decision on whether scientific misconduct is to be assumed. For the investigation, plagiarism softwares can be used. The agreement of the doctoral candidate is not required. The doctoral board can obtain expert opinions to prepare its decision. If after investigating the matter the doctoral board comes to the result that there has been scientific misconduct, the doctoral component affected can be declared invalid. The doctoral component is considered definitively refused. The individual affected is to be given an opportunity to express his or her view before the decision. The doctoral board notifies the individual affected in writing of the respective decision, together with an explanation and instructions about available legal remedies.

(3) If an initial suspicion of academic misconduct (in particular plagiarism) arises in the course of the doctoral procedure, the Doctoral board shall examine the facts of the case and decide whether academic misconduct is to be assumed. The Doctoral board may obtain expert opinions in preparation for its decision. If, after examining the facts of the case, the Doctoral Board comes to the conclusion that academic misconduct has occurred, the doctoral work in question may be declared failed. The doctoral thesis is deemed to have been definitively rejected. The person concerned must be given the opportunity to comment before the decision is made. The doctoral board shall inform the person concerned of the respective decision in writing or electronically, stating the reasons and providing information on legal remedies.

Section 21

Revocation of the Doctoral Degree

(1) The doctoral degree can be revoked

1. if it transpires subsequently that the doctoral student has deliberatively deceived about the existence of essential prerequisites for admittance to the doctoral programme or to the doctoral examination procedure;

2. if it transpires subsequently that the doctoral student is guilty of a misrepresentation when carrying out the doctoral components, particularly in the dissertation;

3. if the doctoral candidate is guilty of scientific misconduct in connection with the dissertation;

4. if the person holding the doctorate has been convicted of an intentional science-related criminal offence.

(2) The faculty proper takes the decision on revocation after an expert opinion from the doctoral board in a closed session with the majority of the members present entitled to vote. The Doctoral Board is authorised to seek expert opinions to prepare its opinion. The decision should be made by the faculty proper within one year after discovery of the facts that justify revocation. The individual affected is to be given an opportunity to express his or her view before the decision. If the doctoral degree has been completed in cooperation with a partner university abroad, the decision on revocation will be made with their cooperation. The provisions of the Administrative Procedure Act for the state of North Rhine-Westphalia (*VwVfG NRW*) are to be applied to this procedure. The decision will be communicated written or electronically to the person concerned, along with the reason for the decision and available legal remedies.

(3) After the decision about the revocation of the doctoral degree, the doctoral certificate is to be declared invalid and retracted.

Section 22
Dissertation File, Access to Files and Retention Periods

(1) For each doctoral student a dissertation file is maintained. The dissertation file documents in particular registration and admission to the doctoral studies, registration and access to the doctoral programme, the dissertation and the evaluations of the dissertation, the examination committee of the disputatio, the minutes of the disputatio, the assessment of the dissertation and disputation, and the calculation of the overall grade of the doctorate, proof of publication of the dissertation, as well as copies of certificates and diplomas. The dissertation file is maintained in writing or electronically, completely or in part.

(2) After announcing the assessment of the dissertation and the disputatio or defensio, the doctoral student or else a representative will be allowed upon written request access to the written components he or she rendered in these examinations and the related evaluations and correction marks, as well as to the minutes of the disputatio. In the scope of this inspection, opportunity must be given for the doctoral student or else his or her representative to make either copies or photographs of the documents. The request for access to files is to be submitted to the Central Doctoral Office of the Dean's office, which shall determine the place and time of the access to files.

(3) The dissertation file and the data gathered in accordance with the enrolment regulations of the University of Cologne in the version currently in force will, in case of termination of the doctorate, be stored until the end of the third year after terminating the doctoral programme and, in case of successful completion, until the end of the fifth year after ending the doctoral programme, and then offered to the responsible archive; if the archive refuses acceptance, it can be destroyed. In a register, the academic degrees granted and a catalogued collection of the time period of the doctoral studies, the date of handing over the diploma, information on the supervision of the doctorate, the evaluations of the dissertation and the members of the examination committee, the certificates and diplomas issued may be preserved until the end of the fiftieth year following the end of the doctorate; sentence 1, second clause applies accordingly. In case of termination of the doctorate the time period of the doctoral studies and information on the supervision may be preserved in a catalogued collection until the end of the tenth year following the termination of the doctorate; sentence 1, second clause applies accordingly.

Section 23

Transitional Provisions

(1) These regulations apply to all doctoral students.

(2) Irrespective of this, the following applies: the right to examination in one doctoral discipline or in one focus area of a doctoral discipline lapses 10 semesters after discontinuation of the doctoral discipline involved or of the focus area of the doctoral discipline involved; on request, the chairperson of the doctoral board decides on exceptions.

Section 24

Coming Into Effect and Publication

These doctoral regulations enter into force on the day following their publication in the Official Notices of the University of Cologne. At the same time, the doctoral degree regulations dated 11 June 2015 (Amtliche Mitteilungen 53/2015), last amended by the regulations dated

26 November 2018 (Amtliche Mitteilungen 98/2018) and dated 12 March 2020 (Amtliche Mitteilungen 09/2020), last amended by the regulations dated 22 March 2023 (Amtliche Mitteilungen 11/2023), shall cease to apply. § Section 23 remains unaffected. For doctoral studies in the subjects of Protestant Theology and Catholic Theology, these doctoral regulations shall enter into force after completion of the procedure agreed with the churches.

Issued on the basis of the resolution of the faculty proper of the Faculty of Arts and Humanities of the University of Cologne from 22.01.2025 as well as after review of legality by the rector of the University of Cologne from 10.06.2025.

Cologne

The Dean
of the Faculty of Arts and Humanities of the University of Cologne

University Professor Stefan Grohé

Appendix 1: Disciplines[1]

The following disciplines can be selected:

African Studies

Art History

Byzantine Studies[2]

Catholic Theology

Chinese Studies

Classical Archaeology or Archaeology of the Roman Provinces

Comparative Literature

Computational Archaeology (Archäoinformatik)

Digital Humanities

Dutch Studies

Egyptology

English Philology

Environmental Humanities

Finnish Studies

General Linguistics

German Didactics (Deutschdidaktik)

German Philology

Greek Philology

Historical and Comparative Linguistics

History

Indology and Tamil Studies

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1 In teaching profession subjects, the focus areas of doctoral disciplines also includes pedagogical content knowledge.

2 The focus study Modern Greek Philology was discontinued starting in the summer term 2006; the former subject Byzantine Studies and Modern Greek Philology was renamed Byzantine Studies.

Information Processing

Japanese Studies

Jewish Studies

Languages and Cultures of the Islamic World (Islamic studies)

Latin Philology

Linguistics

Medieval Latin Philology

Musicology

North American Studies

Philosophy

Phonetics

Protestant Theology

Regional Studies China

Regional Studies Eastern and Central Europe (study focus Russia or Poland)

Regional Studies Latin America

Romance Philology

Scandinavian Studies

Slavic Studies

Social and Cultural Anthropology

Theatre and Media Studies

(1) The prerequisite for admission to the dissertation project is that professional supervision and evaluation can be provided by the Faculty of Arts and Humanities.

Appendix 2: Language Requirements

(1) Admission to the doctoral examination procedure (in accordance with Sect. 7) also requires proof of sufficient knowledge of other languages in accordance with the individually applicable relevant examination regulations for the respective specialist Master. A corresponding entry in the general or specialized higher education entrance qualification certificate or a certificate of an equivalent supplementary examination or a proficiency certificate in accordance with the relevant examination and study regulations serves as proof. On request, the Chairperson of the doctoral board decides on exceptions in consultation with the faculty representative.[3]

(2) Admission to the doctoral examination procedure when selecting one of the subjects below requires proof of language skills:

Art History: Knowledge of Latin in the scope of the minor Latinum is required. When completing a dissertation, in thematically justified exceptional cases, proof of knowledge of Latin can be waived upon request and upon proof of knowledge of two modern languages (B2 in accordance with the Common European Framework System CEF); the Chairperson of the doctoral board decides on applications in consultation with the supervising professor.

Byzantine Studies[4]: Knowledge of Latin in the scope of the Latinum and knowledge of Greek in the scope of the Graecum are required.

Classical Archaeology or Archaeology of the Roman Provinces: Knowledge of Latin in the scope of the Latinum is required. For the focus study Classical Archaeology, knowledge of Greek at the level of two successfully attended semester courses or a second modern language (level B1 in accordance with the Common European Framework system CEF) is required. For applicants who did not obtain their degree at the University of Cologne, upon request the Chairperson of the doctoral board can approve exceptions to this rule in justified cases.

English Philology: Upon selecting the focus study diachronic linguistics or literature and culture up to and including the Renaissance, knowledge of Latin in the scope of the kleines Latinum is required.

German Philology: Knowledge of Latin in the scope of the Latinum are required. When completing a dissertation that is not historically oriented, in exceptional cases justified by the subject, the proof of knowledge of two modern foreign languages (level of knowledge: B2 in accordance with the Common European Framework of Reference CEF) can be substituted for proof of knowledge of Latin; the Chairperson of the doctoral board

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[3] The requirement of the Latinum and the Graecum is aligned with the Resolution of the Standing Conference of the Ministers of Education and Cultural Affairs from 22 Sept. 2005.

[4] The focus study Modern Greek Philology was discontinued starting in the summer term 2006; the former subject Byzantine Studies and Modern Greek Philology was renamed Byzantine Studies.

decides on applications in consultation with the supervising professor.

German Didactics (Deutschdidaktik): Knowledge of two foreign languages is required. In the case of a modern foreign language, a level B2 of the Common European Framework of Reference for Languages must be demonstrated. Optionally, one of the two languages can also be Latin; in this case, knowledge of Latin to the extent of the minor Latinum must be demonstrated

Greek Philology: Knowledge of Latin in the scope of the Latinum and knowledge of Greek in the scope of the Graecum are required.

Historical and Comparative Linguistics: Knowledge of Latin in the scope of the Latinum and knowledge of Greek in the scope of the Graecum are required..

Information Processing: Knowledge of Latin in the scope of the minor Latinum is required.

Jewish Studies: Knowledge of Latin in the scope of the Latinum is required.

Latin Philology: Knowledge of Latin in the scope of the Latinum and knowledge of Greek in the scope of the Graecum are required.

Medieval Latin Philology: Knowledge of Latin in the scope of the Latinum is required.

Philosophy: The prerequisite for doctoral studies in Philosophy is the knowledge of two modern foreign languages in accordance with the level (B2-C2) of the Common European Framework of Reference. For doctoral students for whom German is not their native language, the requirements according to Sect. 7 apply. For doctoral students whose thematic focus is in the sector of ancient or medieval philosophy, at least one of the foreign languages should be covered by proving the Latinum or the Graecum. In case of a focus on ancient or medieval philosophy, if appropriate for research-related reasons, the proof of knowledge of another ancient language, for instance Arabic or Hebrew, can upon request be substituted for proof of the Latinum or Graecum. The Chairperson of the doctoral board makes the decision.

Protestant Theology: Knowledge of Latin in the scope of the Latinum and knowledge of Greek in the scope of the Graecum or knowledge of Hebrew in the scope of the Hebraicum are required.

Romance Philology: Knowledge of Latin in the scope of the minor Latinum is required. When completing a dissertation with a contemporary subject, proof of knowledge of Latin can be waived upon request and with the support of the supervising professor; the Chairperson of the doctoral board decides on applications in consultation with the supervising professor.

Proof of knowledge of Latin in the scope of the minor Latinum can also be provided by proving Latin classes in one's school years.

For applicants with an ancient or medieval dissertation subject, except in the

fields of Greek Philology, Latin Philology, Medieval Latin Philology, Historical and Comparative Linguistics, Archaeology, Art History or Philosophy, proof of knowledge of another classical language (such as Sanskrit, Chinese or Arabic) can be substituted for proof of knowledge of Latin; the Chairperson of the doctoral board decides on applications in consultation with the supervising professor.

Appendix 3: Programme of Study of the Integrated Model (Integrated Track)

(1) The doctoral programme in the integrated model is a structured doctoral programme designed to enable students to complete a doctorate in an interdisciplinary research context within 36 months. Doctoral students take part in the doctoral programme either as scholarship holders of the a.r.t.e.s. doctoral funding programme or as fellows. Fellows are doctoral students who are not sponsored by a.r.t.e.s. and have another sponsorship or employment. A scholarship agreement or a fellowship agreement is concluded for the duration of the doctoral programme.

(2) Doctoral students on the Integrated Track belong to one of the a.r.t.e.s. classes, in which the individual doctoral projects are presented and discussed within a broader thematic and interdisciplinary framework. The study programme is compulsory for doctoral students who have decided to study for a doctorate in the integrated model (Integrated track) and have been admitted.

(3) The three year programme is composed of:

a) the class meetings, which take place regularly for two semester hours per week.

b) an a.r.t.e.s. colloquium after the orientation semester, in which the first lecture is given, and an a.r.t.e.s. colloquium in the fifth semester, in which the second lecture is given.

c) six a.r.t.e.s. plenary sessions (feedback from the doctoral students. Discussion of wishes and problems), which take place once a semester.

d) two events on career entry and block seminars, such as science communication, academic writing, acquisition of third-party funding or writing workshops via a.r.t.e.s. praxis or the Albertus Magnus Centre, which must be attended during the period.

e) participation in three a.r.t.e.s. forum events, an interdisciplinary conference which takes places each summer semester.

The semester programme is published on the a.r.t.e.s. homepage at the beginning of the semester. It is not necessary to register separately for the events. If you are unable to attend the class meetings, you must notify the class mentors in writing or electronically and the management for the a.r.t.e.s. plenum, the a.r.t.e.s. colloquia and the a.r.t.e.s. forum.

(4) Doctoral students can withdraw from the programme by submitting a written or electronic declaration to the management. The doctoral students then become members of the regular track.

Appendix 4: Title Page

(title of dissertation)

Inaugural dissertation
to complete the doctorate from the Faculty of Arts and Humanities
of the University of Cologne
in the subject _____

presented by

_____
(first name, last name)

born on _____
in _____
(place of birth)

(place and date)

Appendix 5: Review Certificate

Review Certificate

Name of the doctoral student _____

Title of the dissertation:

_____

_____

_____

I was presented with the version of the dissertation named above which it is intended to publish. If requirements to make changes were imposed upon acceptance of the dissertation, these have been satisfied. I hereby issue my *Imprimatur* (“ready for press”) for publication in the planned form.

first consultant _____
Date

_____
Signature

second consultant _____
(if changes are required) Date

_____
Signature

poss. third consultant _____
(if changes are required) Date

_____
Signature

Appendix 6: Sample of Supervision Contract

SUPERVISION CONTRACT[5]

between

the doctoral student Ms./Mr. _____

and

1. the primary supervisor Ms./Mr. _____

2. the second supervisor (if applicable) **Ms./Mr.** _____

3. the third supervisor (if applicable) **Ms./Mr.** _____

Sect. 1 Topic of the dissertation

Beginning on [ ], the doctoral student will write a dissertation entitled:

[ ]

The doctoral dissertation project was described in the research proposal of [ ] and accepted by the supervisors and the a.r.t.e.s. Graduate School for the Humanities Cologne (hereinafter a.r.t.e.s.).

Sect. 2 Timeline and work plan

For the doctoral dissertation project referred to above, a timeline and work plan were drafted which is an appendix to this contract.
The execution of the doctoral dissertation project is to be organised so that the doctoral degree can be concluded within the timeframe planned in the timeline and work plan (6 semesters in the Integrated Track). The supervisors and the a.r.t.e.s. Graduate School will do everything in their power to support compliance with this timetable. A change in this timeline requires coordination with the primary supervisor.

Sect. 3 Tasks and obligations of the supervisors

(1) The supervisors commit to providing regular professional advice to the doctoral student and to supporting his or her early scientific independence. This also includes (interdisciplinary) career development and networking in the academic field.
(2) They shape the doctoral project so that it can be concluded within the planned time period and support compliance with the time plan.
(3) The supervisors are responsible for quality assurance of the dissertation. They commit to holding an in-depth discussion with the doctoral student on the progress of the work and compliance with the time plan, usually every 6 months, at least, however, every 12 months (progress review). In this framework they give

[5] This sample of a Supervision Contract is aligned to the recommendations of the DFG (DFG pre-printed form 1.90 – 7/08).

differentiated, qualified and sufficiently thorough feedback on the work progress and discuss the next steps.

(4) They commit to supervise the doctoral project regardless of the duration of possible financing of the doctoral degree (position, scholarship) until it is completed. If a member of the supervisory team withdraws before submission of the dissertation, the doctoral board ensures that appropriate supervision continues to be ensured.

Sect. 4 The doctoral student's tasks and obligations

The doctoral student commits to regular reporting to the supervisors on the state of the dissertation project, compliance with the timeline and work plan, and participation in academic events and (inter)disciplinary qualification measures.
In the scope of the progress reviews the doctoral student presents to the supervisors – usually every 6 months, at least, however, every 12 months – interim results of the dissertation as regards content. Brief minutes on the discussion in which next steps are also recorded are noted by the supervisors.

Sect. 5 Integrated Track/Regular Track

The doctoral dissertation project will be carried out within the a.r.t.e.s. Graduate School as

( ) Integrated Track
( ) Regular Track

in accordance with the provisions of the doctoral regulations in the version currently in effect.

Sect. 6 Complying with good scientific practice

The doctoral student and the supervisors commit to complying with the guidelines on good scientific practice, as formulated, inter alia, in the regulations on ensuring good scientific practice and those on dealing with academic misconduct of the University of Cologne in their currently valid versions.[6]

Sect. 7 Reconciling family and academic activity

The reconciliation of family and academic activity will be supported. Special measures will be agreed as needed.

Sect. 8 Mediating and terminating the Supervision Contract

(1) In case of substantial or personal differences that have a lasting negative impact on trusting, constructive and efficient cooperation, discussions will first be held between the parties. Both parties can appeal to the ombudsperson of the Faculty of Arts and Humanities for the purpose of mediation.
(2) The Supervision Contract can only be terminated writing by the parties unilaterally for cause. If the contract is terminated in writing by the doctoral student or a supervisor,

[6] http://www.hf.uni-koeln.de/data/main/File/Dekanat/promotion/Amtliche%20MitteilungGuteWissPrax24_2011.pdf

the coordinator of the Graduate School is to be informed immediately.

(3) The admission as a doctoral student remains unaffected by termination of the Supervision Contract.
(4) If termination of the Supervision Contract is pursued unilaterally by a supervisor, the doctoral student can call upon the Doctoral Board to mediate.
(5) If the Supervision Contract is effectively terminated for a cause for which the doctoral student is not responsible, the Graduate School will endeavour to find a new supervisor.

Sect. 9 Taking Note of the Doctoral Regulations

The signing parties take note of the applicable regulations, in particular of the Doctoral Regulations in their currently valid version.

Cologne, date

_____ _____
doctoral student

_____ _____
primary supervisor

_____ _____
second supervisor

_____ _____
possibly third supervisor**
(** later notification possible)

_____ _____
The coordinator of the Graduate School

Appendix:
Timeline and work plan