

DISCLAIMER:

THIS TRANSLATION OF THE “Zweite Ordnung zur Änderung der Promotionsordnung der Philosophischen Fakultät der Universität zu Köln” PUBLISHED IN “Amtliche Mitteilungen 77/2022” IS NOT LEGALLY BINDING.

**First regulation on the amendment of the Doctoral Regulations
of the Faculty of Arts and Humanities of the University of Cologne
from August 22, 2022**

According to § 2 sect. 4 and § 67 sect. 3 of the North Rhine-Westphalian Higher Education Act (*Hochschulgesetz*) as amended by the *Hochschulzukunftsgesetz* (HZG NRW) from September 16, 2014 (GV. NRW. S. 547), last modified by article 1 of the act to further amend the Higher Education Act from July, 12, 2019 (GV. NRW. S. 425 ber. 593), the Faculty of Arts and Humanities of the University of Cologne issues the following regulations:

Article I

The Doctoral Regulation of the Faculty of Arts and Humanities from March 12, 2020 (official notice 9/2020), last modified by the first regulation on the amendment of the Doctoral Regulations of the Faculty of Arts and Humanities of the University of Cologne from March 9, 2022 (official notice 23/2022) is amended as follows:

1. § 3 sect. 2 clause 2 includes the following wording:

“Advisory members of the doctoral committee are the Vice Dean for Research and Young Academics, the speaker of the Graduate School, and the managing director of the Graduate School, unless they are members according to numbers 1 to 3.”

2. § 8 includes the following wording:

„§ 8

Right to Award Doctorates; Supervisors

(1) Faculty members to whom the Faculty of Arts and Humanities has conferred the *venia legendi* (permission to teach) in one of its disciplines through habilitation are eligible to

supervise doctoral studies. Appointed professors of the Faculty of Arts and Humanities as well as appointed honorary professors or junior professors from one of the disciplines of the faculty are eligible supervisors as well. If a clear affiliation to a specific discipline is not given, the PhD board may decide. In exceptional cases, the right to award a doctorate may, upon request, be conferred to members of other faculties or universities if they meet the conditions listed under § 65 sect. 1 of the HG; the eligible members of the *Engere Fakultät* may decide such cases.

Without prejudice to § 10 sect. 1 clause 2, the right to award doctorates may only be exercised up to two years after an appointment to another faculty or university. Upon request, the PhD board may approve exceptions.

- (2) Upon request, the right to award doctorates may, in justified exceptional cases, be conferred to junior researchers holding a doctoral degree if they meet the conditions listed under § 65 sect. 1 of the HG and provided that they are equivalent to junior professor due to their teaching and research contribution to existing funding programs; the eligible members of the *Engere Fakultät* may decide such cases.
- (3) Upon request, a temporary right to award doctorates may be conferred to researchers from other faculties or universities who supervise doctoral candidates within the framework of a temporary post graduate program that takes place in cooperation with these faculties or universities, provided that they meet the conditions listed under § 65 sect. 1 of the HG. In these cases, the right to award doctorates is limited to the supervision of doctoral candidates of the temporary post graduate program and is only valid up to two years after its termination. The eligible members of the *Engere Fakultät* decide on such applications.
- (4) Upon request, habilitated academic councilors who meet the conditions listed under § 65 sect. 1 of the HG and who hold a temporary position at the Faculty of Arts and Humanities may, for the period of their employment, receive the right to supervise doctoral studies and award doctorates. This right may only be exercised up to two years after the termination of the employment. The eligible members of the *Engere Fakultät* decide on such applications.
- (5) If the first supervisor is not permanently employed at the Faculty of Arts and Humanities, a professor of the Faculty of Arts and Humanities must be appointed as a second supervisor. Should the first supervisor according to clause 1 leave the university before the supervised doctoral project is completed, the supervision may be continued upon their request, as long as the doctoral candidate has already been admitted to the doctoral program according to § 4. The PhD board decides such cases. If a continuation is not granted, the second supervisor steps in.

Sent. 1 does not apply to retired or emeritus professors of the Faculty of Arts and Humanities.”

3. § 18 includes the following wording:

„§ 18

Examination Certificate and Doctoral Certificate

- (1) Immediately after the successfully passed doctoral examination, the doctoral candidate will receive the examination certificate. It provides information about the discipline and subject of the PhD thesis as well as the overall grade. In case of a disputatio, it also includes the submitted hypotheses. The doctorate is thus completed. The certificate does not authorize the use of the doctoral title.
- (2) Upon request, the Dean may grant the doctoral candidate permission to temporarily use the doctoral title if, with the Dean's approval, the dissertation has been accepted for publication by a commercial publishing house. This permit is valid for a period of one year from the date of the oral examination. It can be withdrawn if the doctoral candidate unduly delays or obstructs the printing. The Dean may, upon request, grant extended terms.
- (3) Upon the fulfillment of all requirements regarding the doctorate, including those according to § 17, the doctoral candidate will receive the doctoral certificate, signed by the Dean and bearing the seal of the Faculty of Arts and Humanities. The certificate bears the date of the defensio or disputatio as well as an indication of a partner university if § 19 applies. The overall grade is noted on the certificate. Once the doctoral certificate is awarded, the candidate may use the doctoral title.
- (4) The doctoral certificate may be renewed after fifty years by the Faculty of Arts and Humanities."

Article II

The present regulation becomes effective on the day after its publication in the official notice of the University of Cologne.

Issued according to the resolution of the *Engere Fakultät* of the Faculty of Arts and Humanities of the University of Cologne from May 18, 2022, after review of legitimacy by the rectorate of the University of Cologne from July 19, 2022.

Cologne, August 22, 2022

The Dean
of the Faculty of Arts and Humanities of the University of Cologne
University professor Dr. Stefan Grohé